

## ANNEXURE-‘B’

### Investors Complaints Data

#### **Name of the Stock Broker:**

Buglerock Capital Private Limited  
(Formerly Known as o3 Securities Private Limited)

#### **SEBI Registration Number:**

INZ000291332

**Data for the month ending – August 2025**

| SN | Received from           | Carried forward from the previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time^ (in days) |
|----|-------------------------|-----------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|    |                         |                                         |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1  | 2                       | 3                                       | 4                         | 5             | 6         | 7                                 |                                | 8                                  |
| 1  | Directly from Investors | 0                                       | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 2  | SEBI (SCORES 2.0)       | 0                                       | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 3  | Stock Exchanges         | 0                                       | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 4  | Other Sources (if any)  | 0                                       | 0                         | 0             | 0         | 0                                 | 0                              | 0                                  |
| 5  | <b>Grand Total</b>      | <b>0</b>                                | <b>0</b>                  | <b>0</b>      | <b>0</b>  | <b>0</b>                          | <b>0</b>                       | <b>0</b>                           |

### Trend of monthly disposal of complaints

| SN | Month              | Carried forward from the previous month | Received | Resolved* | Pending** |
|----|--------------------|-----------------------------------------|----------|-----------|-----------|
| 1  | 2                  | 3                                       | 4        | 5         | 6         |
| 1  | September - 2024   | 0                                       | 0        | 0         | 0         |
| 2  | October - 2024     | 0                                       | 0        | 0         | 0         |
| 3  | November - 2024    | 0                                       | 0        | 0         | 0         |
| 4  | December - 2024    | 0                                       | 0        | 0         | 0         |
| 5  | January - 2025     | 0                                       | 0        | 0         | 0         |
| 6  | February - 2025    | 0                                       | 0        | 0         | 0         |
| 7  | March - 2025       | 0                                       | 0        | 0         | 0         |
| 8  | April - 2025       | 0                                       | 0        | 0         | 0         |
| 9  | May - 2025         | 0                                       | 0        | 0         | 0         |
| 10 | June - 2025        | 0                                       | 0        | 0         | 0         |
| 11 | July - 2025        | 0                                       | 0        | 0         | 0         |
| 12 | August - 2025      | 0                                       | 0        | 0         | 0         |
|    | <b>Grand Total</b> | <b>0</b>                                | <b>0</b> | <b>0</b>  | <b>0</b>  |

\*Complaints of previous months resolved in the current month, if any.

\*\*Total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

| SN | Year               | Carried forward from the previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|----------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2017-18            | 0                                      | 0                        | 0                        | 0                              |
| 2  | 2018-19            | 0                                      | 0                        | 0                        | 0                              |
| 3  | 2019-20            | 0                                      | 0                        | 0                        | 0                              |
| 4  | 2020-21            | 0                                      | 0                        | 0                        | 0                              |
| 5  | 2021-22            | 0                                      | 0                        | 0                        | 0                              |
| 6  | 2022-23            | 0                                      | 0                        | 0                        | 0                              |
| 7  | 2023-24            | 0                                      | 0                        | 0                        | 0                              |
| 8  | 2024-2025          | 0                                      | 0                        | 0                        | 0                              |
| 9  | 2025-2026          | 0                                      | To be updated            | To be updated            | To be updated                  |
|    | <b>Grand Total</b> | <b>0</b>                               | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021 and SEBI/HO/MIRSD/MIRSD-PoD1/P/CIR/2025/22 dated February 21, 2025

**BUGLEROCK CAPITAL PRIVATE LIMITED**  
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